



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kirk E. NEET, et al.

Appln. No.: 10/725,880

Filed: December 2, 2003

For: PROCESS FOR ASSEMBLING AN  
ALTERNATOR STATOR WITH CLIPS THAT  
ALLOWS RADIAL INSERTION OF SQUARE  
WIRE WITH SMALL SLOT OPENINGS

Examiner: TBA

Art Unit: 3748

Attorney Docket No: 10541-1784 (V203-0019)

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

☒ Transmittal Letter (in duplicate); Information Disclosure Statement, PTO 1449

☐

☐

☒ Return Receipt Postcard

Fee calculation and payment:

☒ No additional fee is required.

☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).

☐ An additional filing fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 42=		x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=		+\$280=	
					Total	\$	Total	\$

Fee calculation and payment:

☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.

☐ Please charge Deposit Account No. 06-1500 (VISTEON GLOBAL TECHNOLOGIES, INC.) in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

Date

A. Kader Gacem (Reg. No. 52,474)  
Agent for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 18, 2004 Name: A. Kader Gacem (52,474)

Signature:

BRINKS  
HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610



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Attorney Docket No: 10541-1784 (V203-0019)

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
4,407,876	10/4/1983	Otty
5,936,326	8/10/1999	Umeda, et al.
5,986,375	11/16/1999	Umeda, et al.
5,998,903	12/7/1999	Umeda, et al.
6,051,906	4/18/2000	Umeda, et al.
US 6,242,836 B1	6/5/2001	Ishida, et al.
US 6,313,559 B1	11/6/2001	Kusase, et al.
US 6,417,592 B2	7/9/2002	Nakamura, et al.
US 6,476,530 B1	11/5/2002	Nakamura, et al.
US 6,507,133 B1	1/14/2003	Nakamura
US 2002/0014806 A1	2/7/2002	Senno et al.
US 2002/0153799 A1	10/24/2002	Kurahashi et al.
US 2003/0033709 A1	2/20/2003	Bradfield

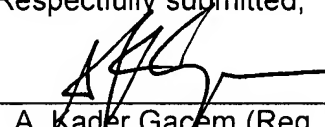
A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

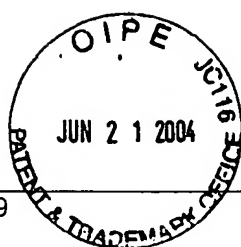
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

June 18, 04  
Date

Respectfully submitted,

  
\_\_\_\_\_  
A. Kader Gacem (Reg. No. 52,474)  
Agent for Applicant

Enclosure: Form PTO-1449 (one sheet)



FORM PTO-1449	SERIAL NO. 10/725,880	CASE NO. 10541-1784 (V203-0019)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE December 2, 2003	GROUP ART UNIT 3748
APPLICANT(S): Kirk E. NEET, et al.		

#### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,407,876	10/4/1983	Otty		
	A2	5,936,326	8/10/1999	Umeda, et al.		
	A3	5,986,375	11/16/1999	Umeda, et al.		
	A4	5,998,903	12/7/1999	Umeda, et al.		
	A5	6,051,906	4/18/2000	Umeda, et al.		
	A6	US 6,242,836 B1	6/5/2001	Ishida, et al.		
	A7	US 6,313,559 B1	11/6/2001	Kusase, et al.		
	A8	US 6,417,592 B2	7/9/2002	Nakamura, et al.		
	A9	US 6,476,530 B1	11/5/2002	Nakamura, et al.		
	A10	US 6,507,133 B1	1/14/2003	Nakamura		
	A11	US 2002/0014806 A1	2/7/2002	Senno et al.		
	A12	US 2002/0153799 A1	10/24/2002	Kurahashi et al.		
	A13	US 2003/0033709 A1	2/20/2003	Bradfield		

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.